

Item Number: 12

**NHS VALE OF YORK CLINICAL
COMMISSIONING GROUP**

GOVERNING BODY MEETING



Vale of York

Clinical Commissioning Group

Meeting Date: 3 April 2014

Title: Serious Incident, Incident and Concerns Policy

Responsible Chief Officer and Title

Lucy Botting
Chief Nurse

Report Author and Title

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Quality Lead

Strategic Priority

Patient Safety: minimising risk, keeping patients free from harm.

Purpose of the Report

This report is a refresh of the 2012/13 Serious Incident policy which ensures that the policy is now compliant with the National Framework (March 2013).

This policy sets out the roles, responsibilities and accountability in relation to response, management and conclusion of Serious Incidents occurring in services commissioned by Vale of York Clinical Commissioning Group.

The policy sets out the arrangements to be followed by commissioned services, Vale of York Clinical Commissioning Group and NHS North Yorkshire and Humber Commissioning Support Unit who are currently contracted to manage the process for this area of work for Vale of York Clinical Commissioning Group

Recommendations

- The Governing Body is asked to approve the refresh of this policy

Impact on Patients and Carers

The policy has an impact on patients by ensuring that they are informed of any incident or near miss within their treatment and care (Duty of Candour). The policy also asks the commissioned organisation to identify the root cause and lessons learnt to prevent reoccurrence of the said incident or near miss. The Vale of York Clinical Commissioning Group is committed to providing harm free care for patients, clients and staff.

Impact on Resources (Financial and HR)

None attributed to this policy

Risk Implications

Having a safety culture encourages a working environment where many components are taken into account and recognised as contributing to a serious incident or to the events leading up to it. It is recognised that the causes of any serious incidents frequently extend far beyond the actions of the individual staff involved, and are often out of their control. Vale of York CCG will monitor any risk implications resulting from these incidents and near misses and look to resolve- seek assurance of resolve with the commissioned service immediately.

Equalities Implications

In developing this policy, an analysis of the impact on Equality has been undertaken. The policy does not have any adverse effects on people, population or the economy.

The Vale of York Clinical Commissioning Group promotes a culture of Equality and Diversity within its organisation and actively monitors themes arising from incidents for any potential discriminatory activity.

Sustainability Implications

None relevant for this policy.